



2PC/S 2/149  
Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 111345.122US)

In re Application of: Anuszczyk et al. ) Examiner: To Be Assigned  
Serial No: 10/021,535 )  
Filed: December 12, 2001 ) Art Unit: 2171

For: METHOD AND APPARATUS FOR MANAGING COMPONENTS IN AN IT SYSTEM

\*\*\*\*\*  
**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, Attention: OIPE Customer Service Center, on the date indicated below.

Date: 1/30/2003

Kimm Maugeri  
Kimm Maugeri

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**Attention: OIPE Customer Service Center**

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the formal filing receipt for the above-referenced application. As indicated in red ink, the filing date must be corrected from, "12/11/2001" to "12/12/2001". Copies of the application transmittal papers, data sheet and date-stamped Express Mail Label are attached as proof of the correct filing date.

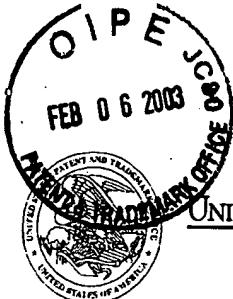
Please charge any fees that may be due in connection with this matter to deposit account 08-0219. If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Joseph F. Haag, Reg. No. 42,612  
Attorney/Agent for Applicants

Date: Jan 30, 2003

HALE AND DORR LLP  
60 State Street  
Boston, MA 02109  
(617) 526-6000  
(617) 526-5000 (Facsimile)



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/021,535	12/11/2001 12/12/2001	2171	1048	111345.122	15	44	14

**CONFIRMATION NO. 1826**

**FILING RECEIPT**



\*OC000000007355584\*

Joseph F. Haag  
Hale and Dorr LLP  
60 State Street  
Boston, MA 02106

**HALE AND DORR LLP**

JAN 28 2002

**INTELLECTUAL PROPERTY  
DEPARTMENT**

Date Mailed: 01/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jeffrey John Anuszczyk, Framingham, MA;  
David Jay Barbrow, Newton, MA;  
Firdaus Bhathena, Andover, MA;  
Peter Demarest Beaman, Wellesley, MA;  
Stanislaw Kowalczyk, Boston, MA;  
Blair Francis Wheeler, Winchester, MA;

**Assignment For Published Patent Application**

Relicore, Inc., Burlington, MA;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

**If Required, Foreign Filing License Granted 01/24/2002**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

**Non-Publication Request: No**

**Early Publication Request: No**

**HALE & DORR DOCKETING**

RE: 111345.122 US1

Action Date: \_\_\_\_\_

Action to be Taken: \_\_\_\_\_

Docketed by: On On: 1-28-02

**\*\* SMALL ENTITY \*\***

Title



Method and apparatus for managing components in an IT system

**Preliminary Class**

707

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Attorney Docket No. 109635-150

**VERIFICATION OF MAILING BY EXPRESS MAIL**

I, SHIRLEY L. HALEY, state that I have mailed the information identified on the attached Transmittal Letter to the U.S. Patent and Trademark Office at the South Station Post Office *Faneuil Hall* under Express Mail Label No. EL540703417US on December 12, 2001 at 4:30 p.m.

Shirley Haley  
Signature

12/12/01  
Date of Signature

4:45 PM  
Time of Signature

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State <u>MA</u>	Month <u>12</u> Year <u>2001</u>	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee <input type="checkbox"/>	
AM <input type="checkbox"/> 8 AM	PM <input checked="" type="checkbox"/> 4 PM	Int'l Alpha Country Code <u>CA</u>	COD Fee <input type="checkbox"/>	Insurance Fee <input type="checkbox"/>
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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 111345-122

First Inventor Jeffrey Anuszczyk

Title Method and Apparatus for Managing Components In An IT System

Express Mail Label No. EL540703417US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 47]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 15 ]
5. Oath or Declaration [ Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-In-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

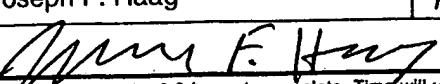
Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label **23483**  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	Joseph F. Haag				
Address	Hale and Dorr LLP				
	60 State Street				
City	Boston	State	MA	Zip Code	02106
Country	United States	Telephone	617-526-6042	Fax	617-526-5000
Name (Print/Type)	Joseph F. Haag	Registration No. (Attorney/Agent)			42,612
Signature				Date	Dec. 12, 2001

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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PTO/SB/17 (10-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT (\$ 1,048

## Complete if Known

Application Number	TBA
Filing Date	December 12, 2001
First Named Inventor	Jeffrey Anuszczyk
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	111345-122

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **08-0219**

Deposit Account Name **Hale and Dorr LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370 Utility filing fee	\$370
106	330	206	165 Design filing fee	
107	510	207	255 Plant filing fee	
108	740	208	370 Reissue filing fee	
114	160	214	80 Provisional filing fee	

SUBTOTAL (1) (\$ 370

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
44	-20** = 24	x 9	= 216
Independent Claims	14	- 3** = 11	x 42 = 462
Multiple Dependent		0	= 0

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 678

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 Surcharge - late filing fee or oath	
127	50	227 Surcharge - late provisional filing fee or cover sheet	
139	130	139 Non-English specification	
147	2,520	147 For filing a request for <i>ex parte</i> reexamination	
112	920*	112 Requesting publication of SIR prior to Examiner action	
113	1,840*	113 Requesting publication of SIR after Examiner action	
115	110	215 Extension for reply within first month	
116	400	216 Extension for reply within second month	
117	920	217 Extension for reply within third month	
118	1,440	218 Extension for reply within fourth month	
128	1,960	228 Extension for reply within fifth month	
119	320	219 Notice of Appeal	
120	320	220 Filing a brief in support of an appeal	
121	280	221 Request for oral hearing	
138	1,510	138 Petition to institute a public use proceeding	
140	110	240 Petition to revive - unavoidable	
141	1,280	241 Petition to revive - unintentional	
142	1,280	242 Utility issue fee (or reissue)	
143	460	243 Design issue fee	
144	620	244 Plant issue fee	
122	130	122 Petitions to the Commissioner	
123	50	123 Processing fee under 37 CFR 1.17(q)	
126	180	126 Submission of Information Disclosure Stmt	
581	40	581 Recording each patent assignment per property (times number of properties)	
146	740	246 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 Request for Continued Examination (RCE)	
169	900	169 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)	Joseph F. Haag	Registration No. (Attorney/Agent)	42,612	Telephone	617-526-6042
Signature	<i>Joseph F. Haag</i>			Date	December 12, 2001

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